

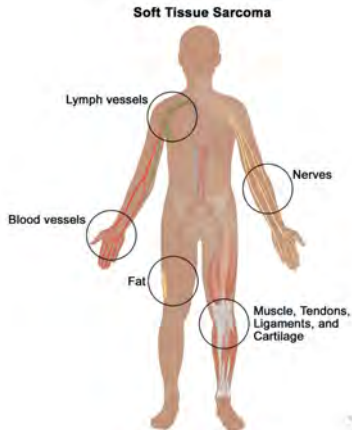
The effect of surgical margins on disease progression in high-grade soft tissue sarcoma patients: through the eyes of a multi-state model

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May 31, 2017

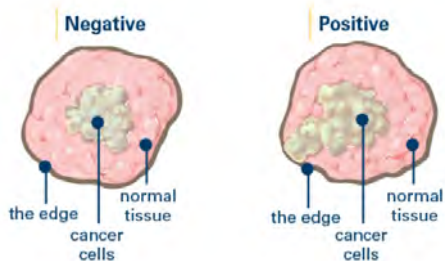
Soft tissue sarcoma

- ▶ Cancer developed in the soft tissue
- ▶ Surgery as standard treatment
- ▶ Disease related events after surgery: local recurrence, distant metastasis, death
- ▶ Effect of margin on disease progression

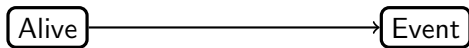


Surgical margin

- ▶ Intralesional margin (positive margin)
- ▶ Marginal margin ($\leq 2\text{mm}$)
- ▶ Wide margin ($>2\text{mm}$)

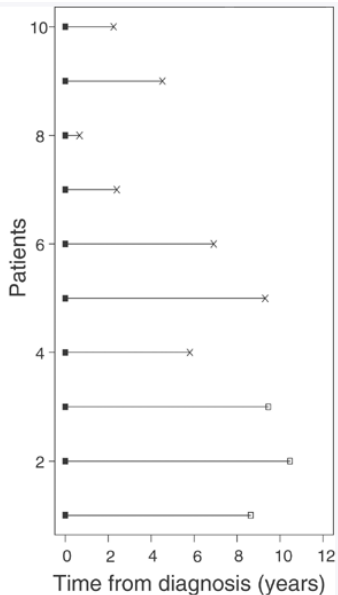


Survival analysis



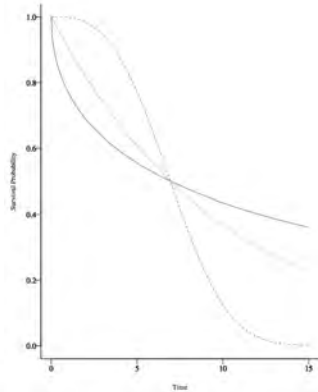
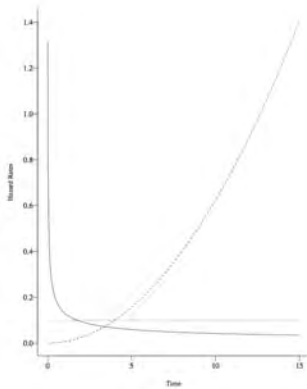
Single failure model.

Censoring



- ▶ Survival function $S(t)$: Probability of surviving until time t .
- ▶ Hazard function $\lambda(t)$: Conditional probability of failing in the next instant given event-free at time t .
- ▶ Cumulative incidence function $I(t)$: Probability of failing before time t .

Survival and hazard function



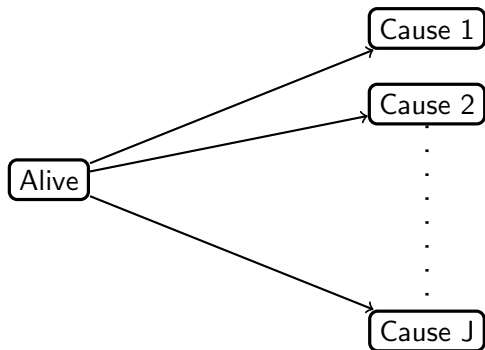
Cox proportional hazards model

- ▶ Estimates covariate effects (age, sex, etc.) on the risk of experiencing the event.
- ▶ The hazard at time t for an individual i with covariate vector \mathbf{X}_i is

$$\lambda(t|\mathbf{X}_i) = \lambda_0(t)e^{\beta^T \mathbf{X}_i},$$

where $\lambda_0(t)$ is the baseline hazard function.

Competing risks



Competing risks model with J causes of failure.

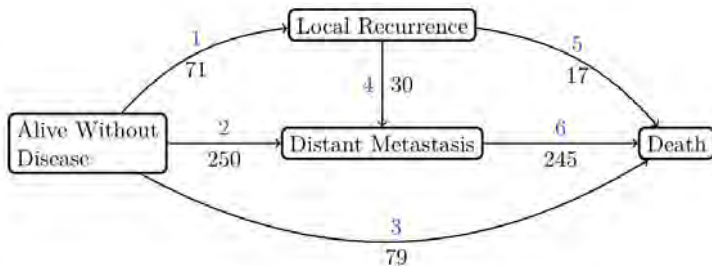
Competing risks

Let T_1, T_2, \dots, T_J be the event times for J competing events. Only $T = \min(T_1, T_2, \dots, T_J)$ and an event indicator $\delta = 1, \dots, J$ are observed. The cause-specific hazards function for cause j for an individual i with covariate vector \mathbf{X}_i is given as

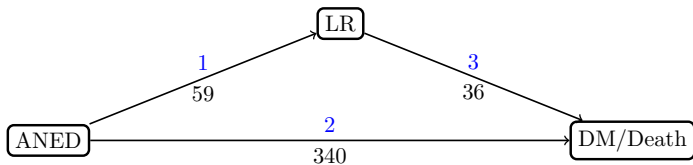
$$\lambda_j(t|\mathbf{X}_i) = \lambda_{j0}(t)e^{\beta_j^T \mathbf{X}_i},$$

where λ_{j0} is the cause-specific baseline hazard and β_j assesses the effect of covariate vector \mathbf{X}_i on cause j .

Multistate model



Multistate model



Multistate model for progression of soft tissue sarcoma.

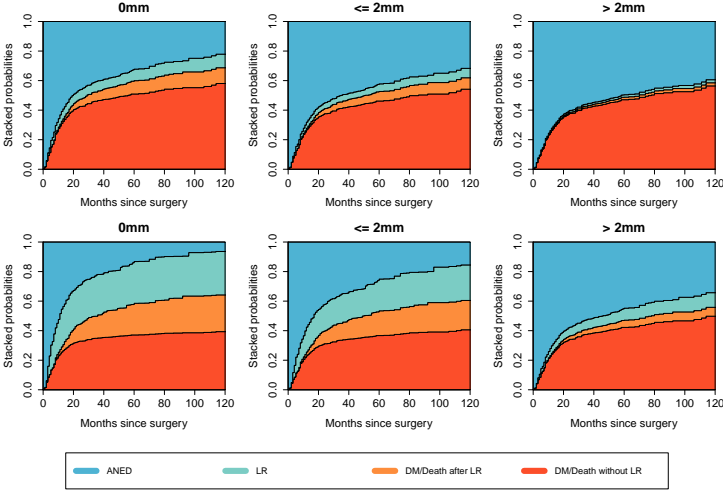
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Multistate model

Variable	P value	ANED → LR		ANED → DM / Death		LR → DM / Death			
		HR	95% CI	P value	HR	95% CI	P value	HR	95% CI
Age									
<25		1		1		1			
25-50	0.649	0.76	0.23-2.50	0.077	1.64	0.95-2.85	0.413	0.50	0.10-2.60
>50	0.955	1.03	0.32-3.31	0.023	1.90	1.09-3.29	0.302	0.47	0.11-1.97
Tumor presentation ("whoops" ¹ vs. primary)	0.344	1.43	0.68-3.03	0.586	0.91	0.66-1.26	0.539	1.39	0.48-4.03
Tumor location (lower vs. upper)	0.116	0.61	0.33-1.13	0.919	1.01	0.78-1.32	0.474	1.43	0.54-3.83
Tumor size, cm	0.018	1.06	1.01-1.11	0.000	1.06	1.04-1.08	0.114	1.05	0.99-1.12
Depth									
Deep		1			1				
Superficial	0.093	0.51	0.23-1.12	0.653	0.92	0.66-1.30			
Deep and superficial	0.226	0.26	0.03-2.33	0.253	1.31	0.82-2.09			
Histopathology									
Angiosarcoma		1			1				
MPNST ²	0.034	0.23	0.06-0.90	0.845	1.08	0.51-2.26			
Myxofibrosarcoma	0.085	0.34	0.10-1.16	0.777	0.90	0.44-1.84			
Synovial sarcoma	0.023	0.21	0.05-0.80	0.972	0.99	0.47-2.07			
Spindle cell sarcoma	0.078	0.32	0.09-1.14	0.910	0.96	0.46-2.01			
Sarcoma NOS ³	0.918	0.90	0.13-6.14	0.702	0.82	0.31-2.22			
MFH/UPS ⁴	0.032	0.19	0.04-0.87	0.560	1.26	0.58-2.76			
Surgical margin									
0mm		1			1			1	
≤2mm	0.113	0.61	0.33-1.12	0.211	0.82	0.61-1.12	0.746	1.15	0.50-2.62
>2mm	0.000	0.16	0.07-0.41	0.193	0.80	0.56-1.12	0.949	1.04	0.32-3.36
Type of surgery (limb-sparing vs. amputation)	0.486	1.55	0.45-5.32	0.717	0.93	0.61-1.40			
Radiotherapy									
Neoadjuvant		1			1				
Adjuvant	0.015	4.36	1.34-14.24	0.840	0.96	0.63-1.46			
No radiotherapy	0.000	14.20	4.14-48.75	0.340	1.24	0.80-1.91			

¹Incomplete excision elsewhere prior to referral; ²Malignant peripheral nerve sheath tumor; ³Not otherwise specified; ⁴Malignant fibrous histiocytoma/undifferentiated pleomorphic sarcoma

Multistate model

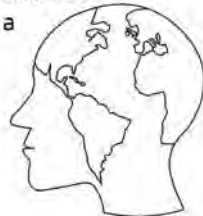


Two patients with different baseline characteristics in different margin scenarios.

Goal of project

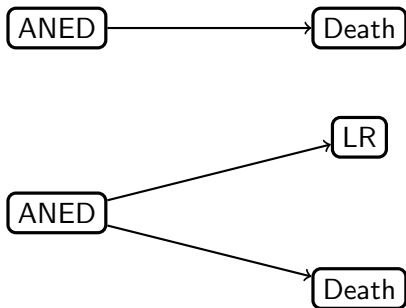
- ▶ Use multistate models to predict disease progression on individual basis
- ▶ Provide updated predictions for patients taking into account events a patient experienced (LR, DM)
- ▶ For this need to develop methodology to validate a multistate model for prediction
- ▶ External data will be used for validation

Personalised
Sarcoma
Care



What we can do now

- ▶ Update data
- ▶ Use simpler models for prediction
- ▶ Use available methods and cross validation



Internal validation

Use available methods to validate the models without external data using cross validation

- ▶ Harrell's C index
- ▶ Visualizing discrimination
- ▶ Calibration plots

Cox regression model for OS

	<i>HR</i>	<i>95%CI</i>	<i>P value</i>
Age	1.018	1.011-1.024	<0.001
Size	1.068	1.052-1.085	<0.001
Depth*			0.377
<i>Deep</i>	1.000		
<i>Superficial</i>	0.813	0.591-1.117	
<i>Deep and superficial</i>	1.110	0.736-1.674	
Histology			0.492
<i>Myxofibrosarcoma</i>	1.000		
<i>MPNST</i>	1.422	0.989-2.044	
<i>Synovial sarcoma</i>	1.261	0.869-1.831	
<i>Spindle cell sarcoma</i>	1.211	0.884-1.661	
<i>MFH/UPS</i>	1.293	0.890-1.876	
Margin			0.080
<i>0 mm</i>	1.000		
<i>0.1-2 mm</i>	0.786	0.599-1.033	
<i>>2 mm</i>	0.711	0.524-0.964	
RT			<0.001
<i>No RT</i>	1.000		
<i>Neoadjuvant</i>	0.548	0.399-0.753	
<i>Adjuvant</i>	0.638	0.486-0.837	

Fine and Gray model for LR

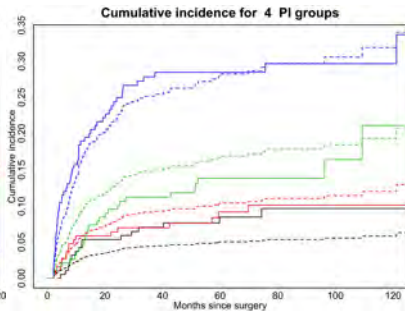
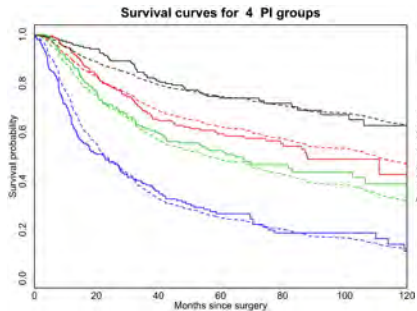
	<i>sHR</i>	<i>95%CI</i>	<i>P value</i>
Age	1.005	0.994-1.017	0.337
Size	1.031	1.001-1.063	0.042
Depth*			0.559
<i>Deep</i>	1.000		
<i>Superficial</i>	0.907	0.536-1.535	
<i>Deep and superficial</i>	0.563	0.198-1.604	
Histology			0.864
<i>Myxofibrosarcoma</i>	1.000		
<i>MPNST</i>	1.079	0.580-2.009	
<i>Synovial sarcoma</i>	0.779	0.379-1.602	
<i>Spindle cell sarcoma</i>	0.979	0.570-1.681	
<i>MFH/UPS</i>	1.096	0.557-2.156	
Margin			<0.001
<i>0 mm</i>	1.000		
<i>0.1-2 mm</i>	0.635	0.406-0.992	
<i>>2 mm</i>	0.282	0.159-0.500	
RT			0.010
<i>No RT</i>	1.000		
<i>Neoadjuvant</i>	0.312	0.146-0.668	
<i>Adjuvant</i>	0.700	0.417-1.175	

C index

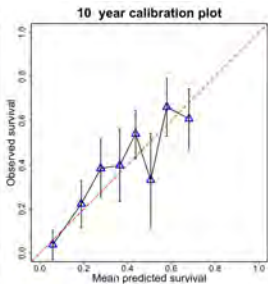
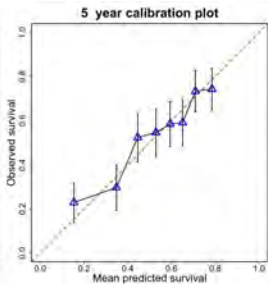
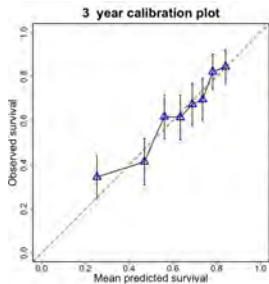
- ▶ Harrell's concordance index is defined as the proportional of observations for which the order of survival times and model predictions are concordant.
- ▶ Can be defined for competing risks using risk set definition as in Fine and Gray model ²
- ▶ For OS 0.677 (95% CI 0.643 to 0.700)
- ▶ For LR 0.696 (95% CI 0.629 to 0.743)

²Wolbers 2009, Epidemiology 20:4

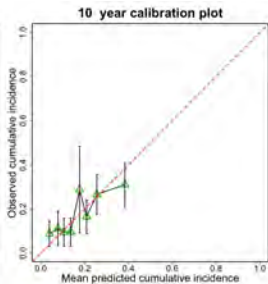
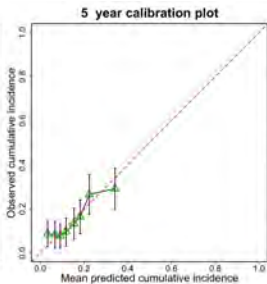
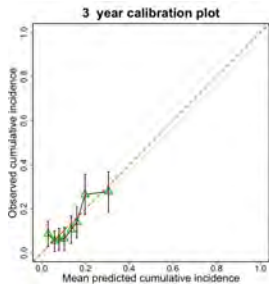
Visualizing discrimination



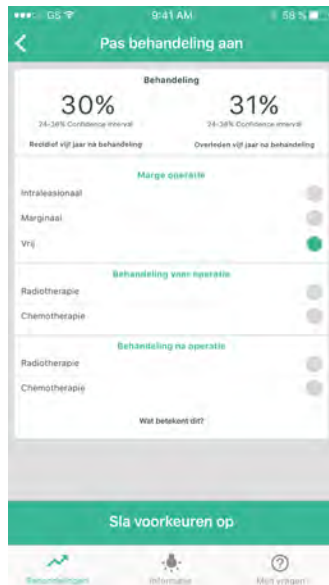
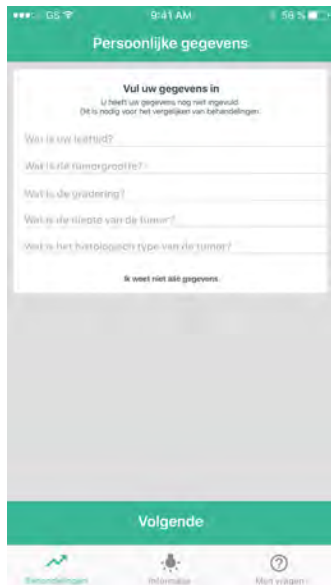
Calibration plots for OS



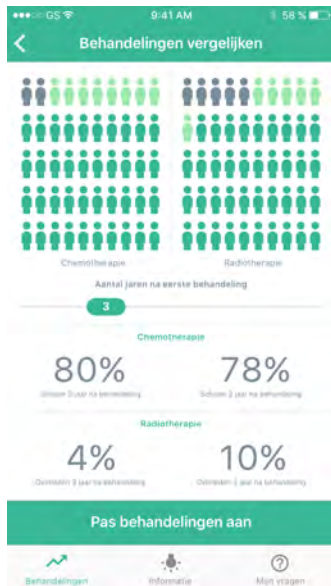
Calibration plots for LR



App: idea for visualization



App: idea for visualization



Thank you!

- ▶ Willeumier JJ et al. Individualised risk assessment for local recurrence and distant metastases in a retrospective transatlantic cohort of 687 patients with high-grade soft tissue sarcomas of the extremities: a multistate model. *BMJ open*. 2017;7(2):e012930.
- ▶ van Houwelingen HC. Validation, calibration, revision and combination of prognostic survival models. *Statistics in medicine*. 2000;19(24):3401-15.
- ▶ Wolbers M et al. Prognostic models with competing risks: methods and application to coronary risk prediction. *Epidemiology (Cambridge, Mass)*. 2009;20(4):555-61.
- ▶ Royston P, Altman DG. External validation of a Cox prognostic model: principles and methods. *BMC medical research methodology*. 2013;13:33.